Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/780,151 **Application Number** February 14, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Jay C. Pershing et al. STATEMENT BY APPLICANT 1638 Art Unit (Use as many sheets as necessary) **Examiner Name** Kubelik, Anne R. Attorney Docket Number **MONS:155US**

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}	MINI-DD-1111		
	A8	us-2003/0186813 A1	10/02/2003	Pershing et al.	
	A9	us-2004/0063582 A1	04/01/2004	Johnson	
	A10	us-2010/0022390 A1	01/28/2010	Cosgrove et al.	
	A11	us-2010/0029725 A1	02/24/2010	Cosgrove et al.	
	A12	us- 4,766,203	08/23/1988	Kreig et al.	
	A13	us-4,797,279	01/10/1989	Karamata et al.	
	A14	us- 4,910,016	03/20/1996	Gaertner et al.	
	A15	บร- 5,696,144	12/09/1997	Royalty et al.	
	A16	us- 5,753,507	05/19/1998	Ohta et al.	
	A17	us- 5,876,739	03/02/1999	Turnblad et al.	
	A18	us- 5,877,012	03/02/1999	Estruch	
	A19	us- 5,990,395	11/23/1999	Plaisted et al.	
	A20	us-6,060,594	05/09/2000	English et al.	
	A21	us- 6,063,597	05/16/2000	English et al.	
	A22	us-7,001,869	02/21/2006	Johnson	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
	B2	WO 98/27218 A2	06/25/1998	Privalle et al.			
	B3	WO 99/35910 A2	07/22/1999	Lee et al.			
	T						

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO Application Number 10/780.151 Filing Date February 17, 2004 INFORMATION DISCLOSURE First Named Inventor Jay C. Pershing et al. STATEMENT BY APPLICANT Art Unit 1638 (Use as many sheets as necessary) **Examiner Name** Kubelik, Anne R.

2 Attorney Docket Number **MONS:155US** Sheet NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Examiner Cite T^2 the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials* No. number(s), publisher, city and/or country where published. Agi et al., "Arthropod management: efficacy of seed mixes of transgenic Bt and C9 nontransgenic cotton against bollworm Helicoverpa zea boddie," J. Cotton Sci., 5:74-80. Armstrong et al., "Field evaluation of European corn borer in progeny of 173 transgenic corn event expressing an insecticidal protein from Bacillus thuringiensis," Crop Sci., 35(2):550-557, 1995. Bates et al., "Insect resistance management in GM crops: past, present and future," Nature Biotechnol., 23:57-62, 2005. C12 Jansens et al., "Transgenic corn expressing a Cry9C insecticidal protein from Bacillus thuringiensis protected from European corn borer damage," Crop Sci., 37(5):1616-1624, 1997. Li et al., "Effects of Bt cotton expressing Cry1ac and Cry2ab and non-Bt cotton on behavior, survival and development of trichoplusia ni (Lepidoptera:noctuidae)," Crop Protect J., 25-940-948, 2006, Ramachandran et al., "Intraspecific competition of an insect-resistant transgenic canola in C14 seed mixtures," Agron. J., 92:368-374, 2000. Tabashnik, "Delaying insect adaptation to transgenic plants: seedmixtures and refugia reconsidered," Proc. Royal Soc. Lond. B, 255(1342):7-12, 1994. Vaughn et al., "A method of controlling corn rootworm feeding using a Bacillus thuringiensis protein expressed in transgenic maize," Crop Sci., 45:931-938, 2005. Whalon et al., "Bacillus thuringiensis: use and resistance management," In: Insecticides with Novel Modes of Action: Mechanism and Application," Ishaaya (Eds.), Springer, pp 106-137, Berlin, 1998 Office Action regarding U.S. Application No. 11/686,725, dated December 20, 2010. C18 Office Action regarding U.S. Application No. 12/651,174, dated December 2, 2010.

Examiner	Date	\neg
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{**}CAMINER: Initial interience considered, whether or not shadow is an observed and include copy of this form with next communication to applicant.

**Applicant's unique citation designation number (optional). **Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO considered).

**This collection is estimated to take 2 hours to complete, including to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.